

Convex Neural Codes

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Hippocampus

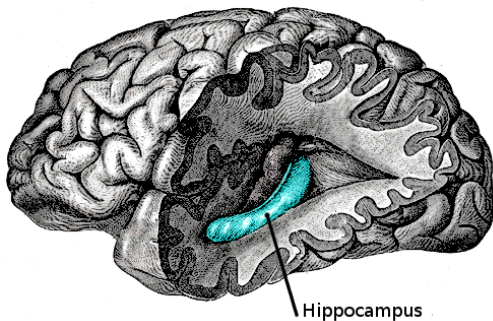


Image credit: Henry Vandyke Carter (1919)

Place Cells

- Place cells are a particular type of neuron found in the hippocampus.
- Individual place cells are active when the creature is in a particular location in the environment called the **place field** or **receptive field** for the neuron.
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Example

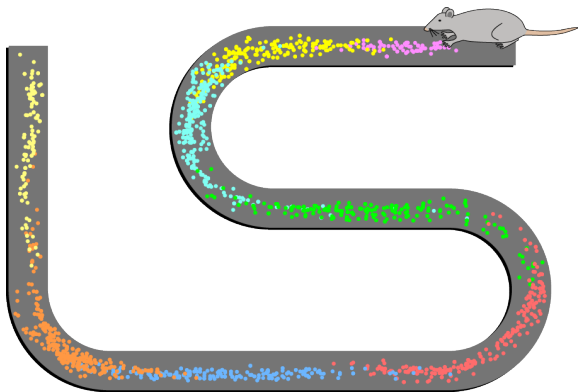


Image credit: “Place Cell Spiking Activity Example” by Stuartlayton, CC BY-SA 3.0

Neural Codes

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- $[n] = \{1, 2, \dots, n\}$
- An element $i \in [n]$ is a **neuron**.
- $2^{[n]} = \mathcal{P}([n])$
- A **code** \mathcal{C} is a subset $\mathcal{C} \subset 2^{[n]}$.
- An element $\sigma \in \mathcal{C}$ is a **codeword** of \mathcal{C} . Each codeword represents a collection of neurons which co-fire.

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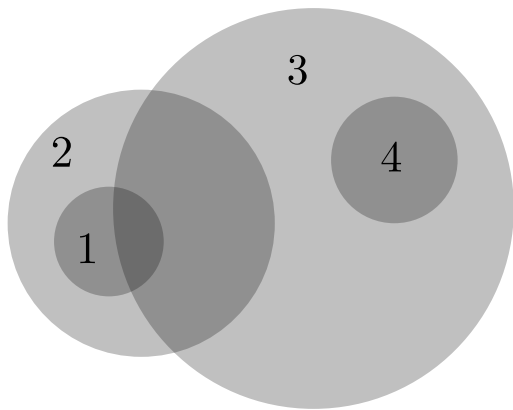
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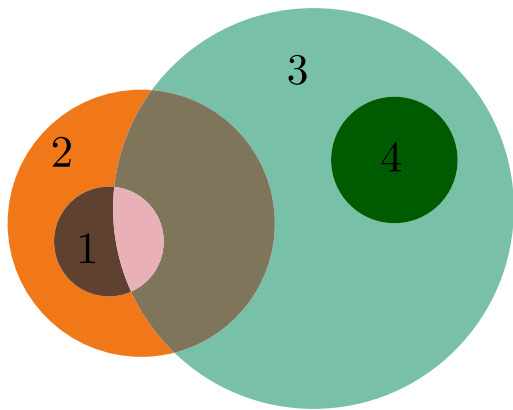
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Example



$$\mathcal{C} = \{2, 3, 12, 23, 34, 123\}$$

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Convex Codes

A code \mathcal{C} is **open convex** if it can be realized as the code of a cover of open convex sets in \mathbb{R}^d for some d .

A code \mathcal{C} is **closed convex** if it can be realized as the code of a cover of closed convex sets in \mathbb{R}^d for some d .

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Simplicial Complex

A set $\mathcal{C} \subset 2^{[n]}$ is a **simplicial complex** if it is closed under taking subsets. That is, if $\sigma \in \mathcal{C}$ and $\tau \subset \sigma$, then $\tau \in \mathcal{C}$.

For example, $\mathcal{C} = \{2, 3, 13, 23, 123\}$ is not a simplicial complex.
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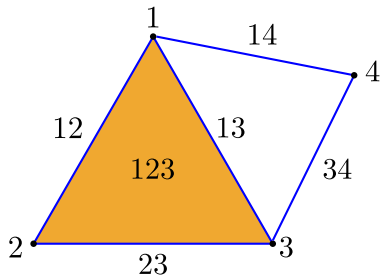
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$$\mathcal{C} = \{1, 2, 3, 12, 13, 14, 23, 34, 123\}$$

Simplicial Violators

Given a code \mathcal{C} on $[n]$, let $\Delta(\mathcal{C})$ denote the smallest simplicial complex on $[n]$ containing \mathcal{C} .

Any $\sigma \in \Delta(\mathcal{C}) \setminus \mathcal{C}$ is called a **simplicial violator** of \mathcal{C} .

If σ is a simplicial violator of \mathcal{C} , then the **localization** of \mathcal{C} at σ is the code $\mathcal{C}|_\sigma$ on the set of neurons $[n] \setminus \sigma$ where $\tau \in \mathcal{C}|_\sigma$ if and only if $\tau \cup \sigma \in \mathcal{C}$.

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Example

Let $\mathcal{C} = \{2, 3, 13, 23, 123\}$. Then $\sigma = 1$ is a simplicial violator for \mathcal{C} and $\mathcal{C}|_1 = \{3, 23\}$. Also, $\tau = 12$ is a simplicial violator, and $\mathcal{C}|_{12} = \{3\}$.

Known Local Obstruction

Theorem (C. Giusti, V. Itskov)

Let σ be a simplicial violator of \mathcal{C} . If $\Delta(\mathcal{C}|_\sigma)$ is not a contractible simplicial complex, then \mathcal{C} is not convex.

Assume $\Delta(\mathcal{C}|_\sigma) \not\cong *$ but \mathcal{C} is open (or closed) convex.

Let $\mathcal{U} = \{U_i\}_{i \in [n]}$ be an open (closed) convex cover so that $\text{code}(\mathcal{U}) = \mathcal{C}$.

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- $\Delta(\mathcal{C}|_\sigma)$ is the nerve of the cover of U_σ by open (closed) convex sets V_j .
- Therefore, by the nerve lemma, $U_\sigma \simeq \Delta(\mathcal{C}|_\sigma)$.
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Example

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- $\sigma = 3$ is a simplicial violator.
- $\mathcal{C}|_{\sigma} = \{1, 2\} = \Delta(\mathcal{C}|_{\sigma}) \neq *$
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Question

If $\Delta(\mathcal{C}|_\sigma)$ is contractible for every simplicial violator σ , is \mathcal{C} open (closed) convex?

The answer turns out to be no for open convex: The code $\mathcal{C} = \{1, 3, 12, 13, 15, 34, 35, 125, 135, 345, 1234\}$ is not open convex, but $\mathcal{C}|_\sigma \simeq *$ for all simplicial violators σ .

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Contractibility

- A (finite) simplicial complex K is contractible if and only if all homology groups are trivial and the fundamental group is trivial.
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Intersection Completion

Given a code \mathcal{C} , let $\widehat{\mathcal{C}} = \{\sigma \mid \sigma = \bigcap_{\tau \in X} \tau \text{ for some } X \subset 2^{\mathcal{C}}\}$ be the **intersection completion of \mathcal{C}** .

If $\mathcal{C} = \widehat{\mathcal{C}}$, then \mathcal{C} is **intersection complete**.

Theorem (GIK)

Intersection complete codes are convex.

Corollary

Simplicial complexes are convex codes.

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For each $\sigma \in \mathcal{C}$ let e_σ be a unit vector in $\mathbb{R}^{|\mathcal{C}|}$ so that $\{e_\sigma\}$ is a basis for $\mathbb{R}^{|\mathcal{C}|}$.

For each $i \in [n] = \{1, \dots, n\}$, let $v(i)$ be the following set of points:

$$v(i) = \{e_\sigma \mid \sigma \in \mathcal{C}, i \in \sigma\}.$$

Define $P(i) = \text{conv}(v(i))$ to be the convex hull of $v(i)$.

The collection $\text{cov}(\mathcal{C}) = \{P(i)\}_{i \in [n]}$ is the **potential cover** for \mathcal{C} .

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A Cover for Intersection Complete Codes

Let \mathcal{C} be a code on $[n]$.

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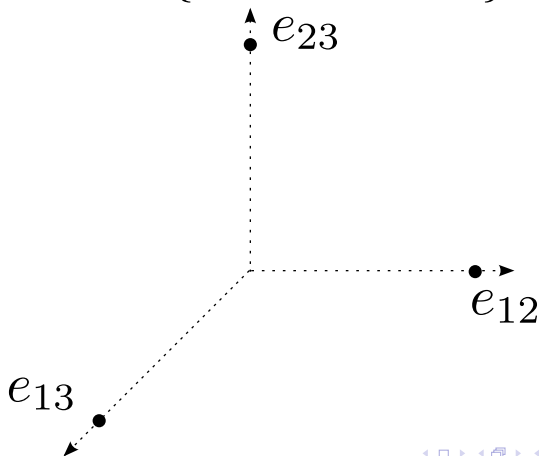
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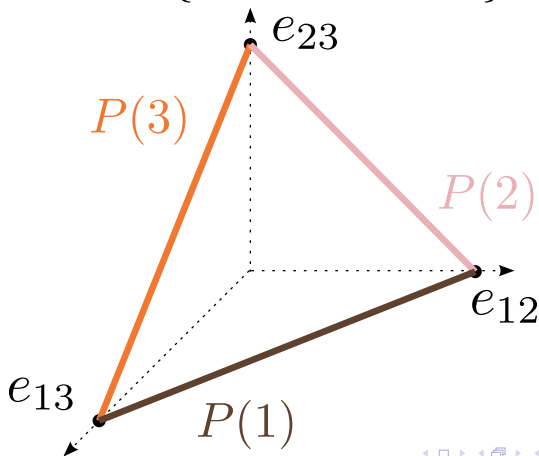
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An **intersection violator** of a code \mathcal{C} is a codeword $\sigma \in \widehat{\mathcal{C}} \setminus \mathcal{C}$.
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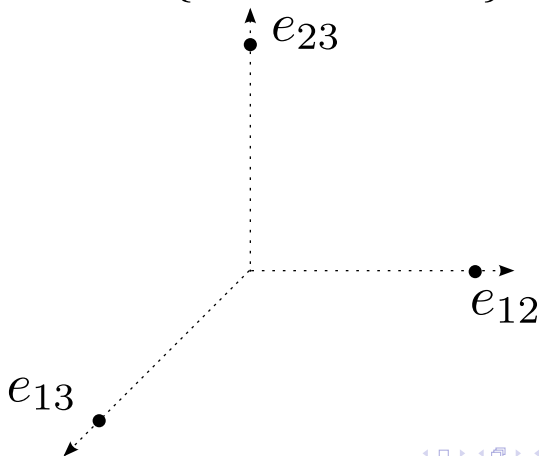
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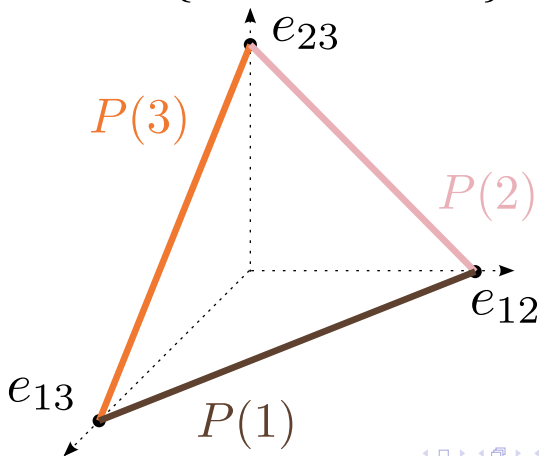
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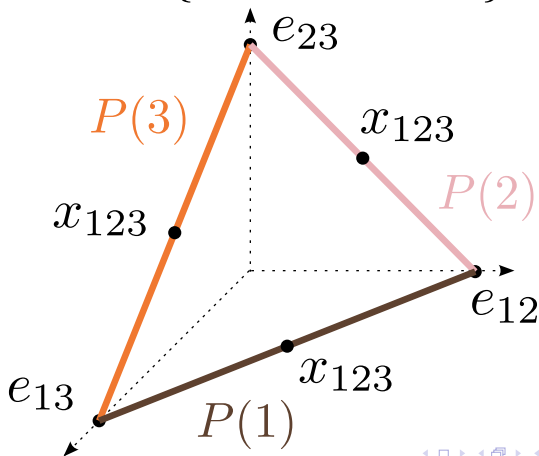
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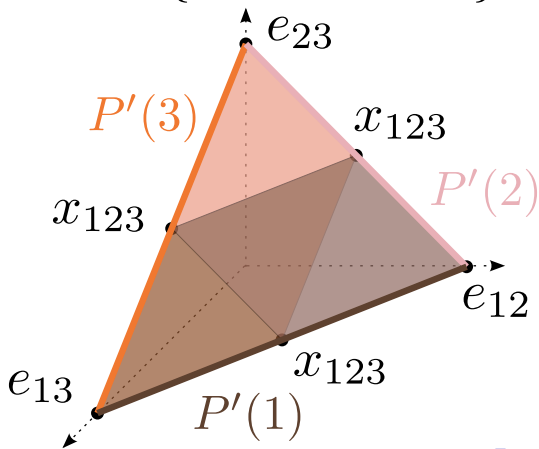
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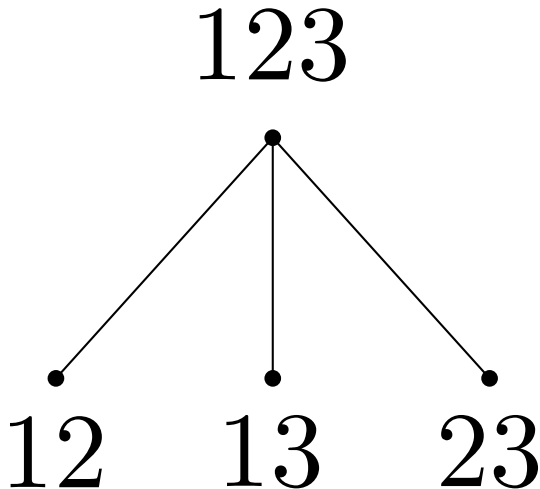


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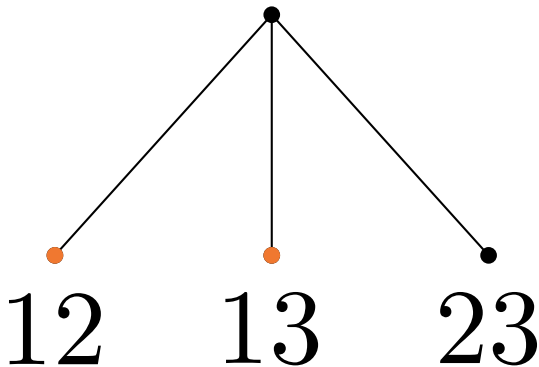


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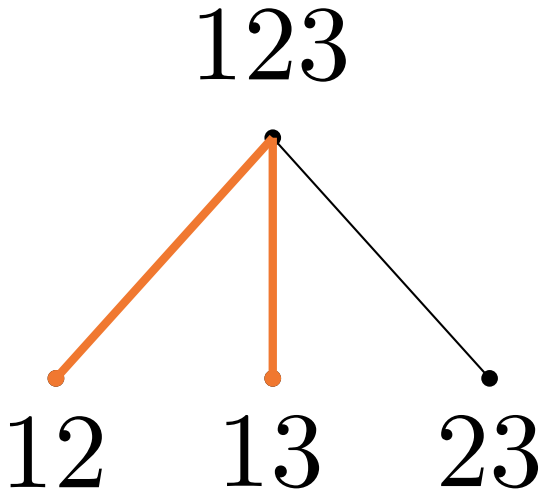


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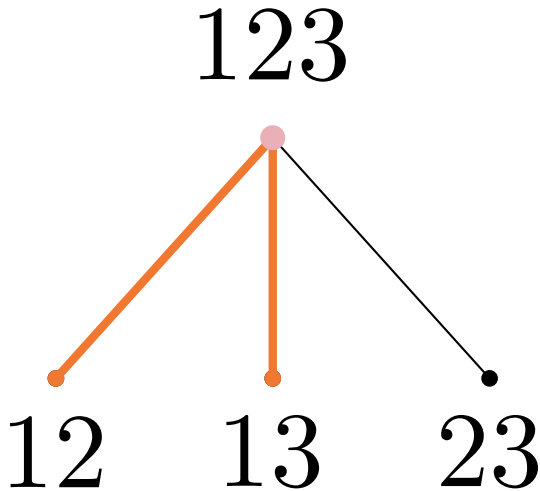
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Open/Closed Convex

- Every open convex code is closed convex.
 - Shrink each U_i by ε so that the intersection patterns are preserved.
 - (Details for finding ε are technical.)
 - Take the closure of the resulting sets.
- Not every closed convex code is open convex.
- A. Shiu proved that $\mathcal{C} = \{3, 4, 13, 14, 23, 34, 45, 123, 134, 145, 2345\}$ is closed convex, but not open convex.
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Dimension

- If d is the smallest positive integer for which \mathcal{C} is the code of an open convex cover in \mathbb{R}^d , then d is the **open dimension** of \mathcal{C} .
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- The code $\mathcal{C} = \{1, 2, 3, 4, 14, 24, 34, 123\}$ is both open convex and closed convex.
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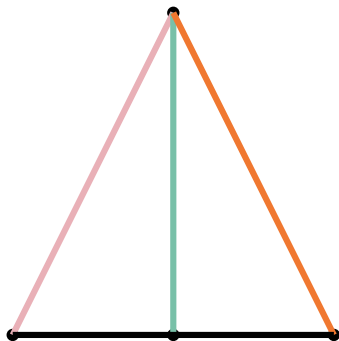
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14



24



34

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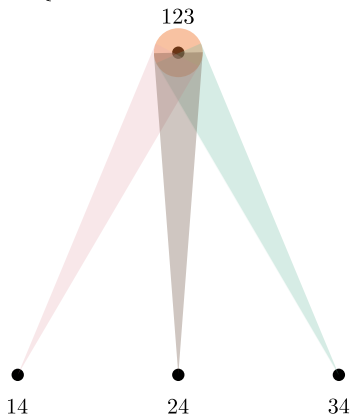
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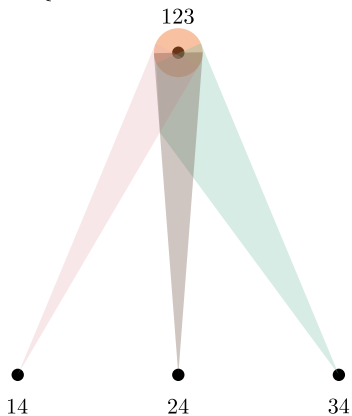
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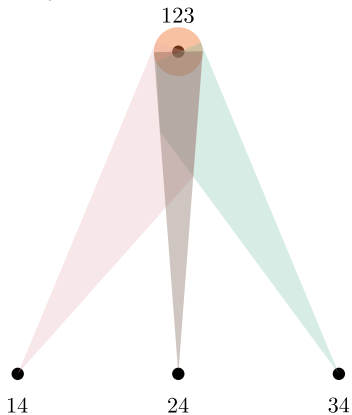
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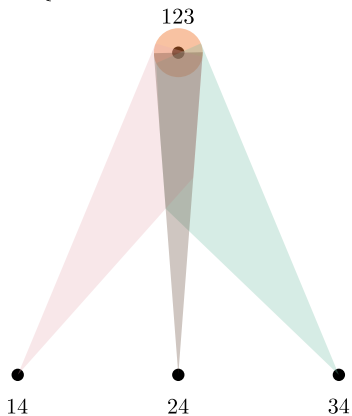
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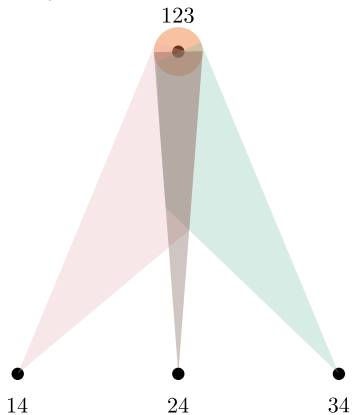
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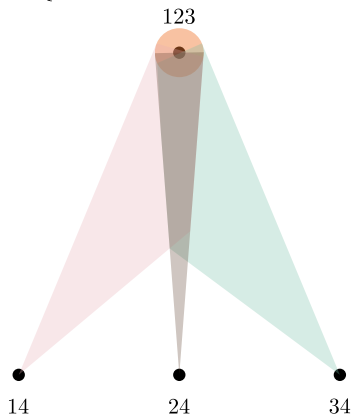
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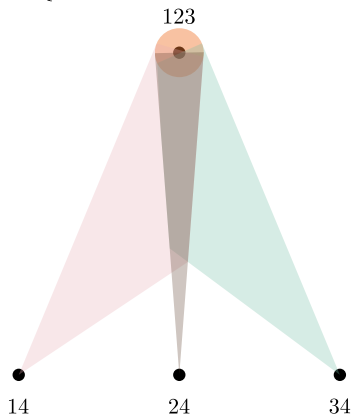
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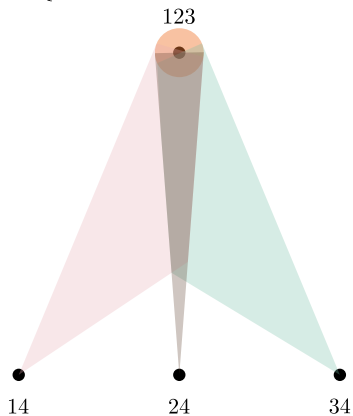
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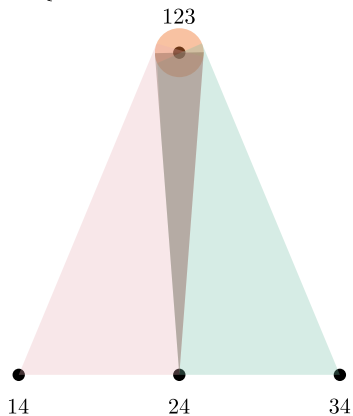
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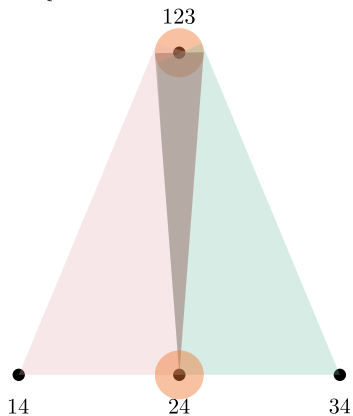
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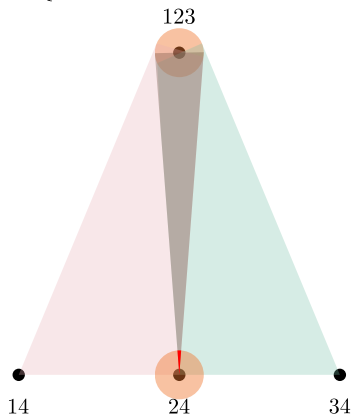
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